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UNITED STATES PATENT AND TRADEMARK OFFICE

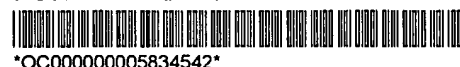
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/768,305	01/25/2001	Vincent Chern	50310-00639

CONFIRMATION NO. 4151

25243
COLLIER, SHANNON, SCOTT, PLLC
3050 K STREET, NW
SUITE 400
WASHINGTON, DC 20007

FORMALITIES LETTER



OC000000005834542

Date Mailed: 03/07/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is missing.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

06/15/2001 13:00:11 00000060 09768305 130.00 OP
01 FC:105

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Collier Shannon Scott



June 14, 2001

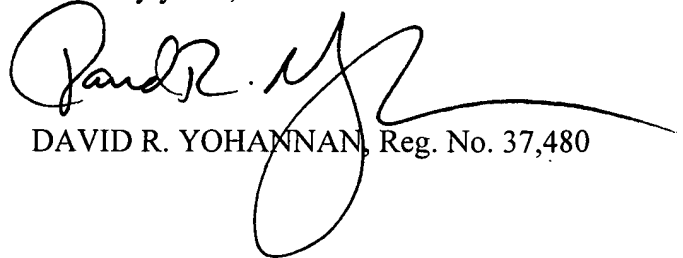
Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Re: U.S. Patent Application Serial No. 09/768,305
For: KNOWLEDGE-BASED INFORMATION RETRIEVAL SYSTEM AND
METHOD FOR WIRELESS COMMUNICATION DEVICE
Our Reference: 50310-00639

Dear Sir:

Transmitted herewith for filing in the U.S. Patent and Trademark Office in connection with the above-referenced application are the following documents: (1) Transmittal Form (1 sheet); (2) Fee Transmittal Form (1 sheet); (3) Response to Notice to File Missing Parts of Nonprovisional Application (2 sheets); (4) Copy of the Notice to File Missing Parts of a Nonprovisional Application (2 sheets); (5) a Preliminary Amendment (2 sheets); (6) Executed Declaration and Power of Attorney for Vincent Chern, William Son, and Yuri A. Tijerino (4 sheets); (7) Recordation Form Cover Sheets - with the executed Assignments for Applicants Vincent Chern, William Son, and Yuri A. Tijerino and Neopoint, Inc. (including Schedule A), for recording attached thereto (16 sheets); (8) a substitute specification in compliance with 37 CFR 1.52 (34 sheets); and (9) a check in the amount of \$680.00 (\$130.00 to cover the Government surcharge fee for the late filing of the Oath; \$160.00 for recording the Assignments; and \$390.00 for the two-month extension of time).

Sincerely yours,



DAVID R. YOHANNAN, Reg. No. 37,480

Enclosures

Sector
Collier Shannon Scott, PLLC
Washington Harbour, Suite 400
3050 K Street, NW
Washington, DC 20007-5108
202.342.8400 TEL
202.342.8451 FAX

DAVID R. YOHANNAN
202.342.8616
dyohannan@colliershannon.com

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 680.00

Complete if Known

Application Number	09/768,305
Filing Date	January 25, 2001
First Named Inventor	Vincent Chern et al.
Examiner Name	Unassigned
Group Art Unit	2681
Attorney Docket No.	50310-00639

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

Deposit
Account
Name

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$ -0-

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent Claims	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ -0-

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	390.00
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	160.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 680.00

SUBMITTED BY

Name (Print/Type) DAVID R. YOHANNAN

Registration No.
(Attorney/Agent)

37,480

Complete (if applicable)

Telephone 202.342.8400

Signature

David R. Yohannan

Date June 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

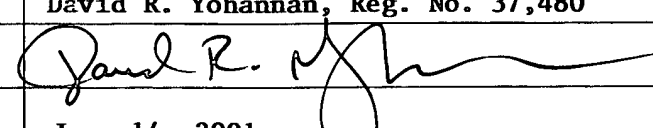
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/768,305	
	Filing Date	January 25, 2001	
	First Named Inventor	Vincent Chern et al.	
	Group Art Unit	2681	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	63	Attorney Docket Number	50310-00639

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> 2-Month Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Office Practice Concern <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Declaration and Power of Attorney Check in the amount of \$680.00
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	COLLIER SHANNON SCOTT, PLLC David R. Yohannan, Reg. No. 37,480
Signature	
Date	June 14, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/>	
Typed or printed name	<input type="text"/>
Signature	<input type="text"/>
Date	<input type="text"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re

Application of: Vincent Chern et al.

Application Serial No.: 09/768,305

Filed: January 25, 2001

For: KNOWLEDGE-BASED INFORMATION RETRIEVAL SYSTEM AND
METHOD FOR WIRELESS COMMUNICATION DEVICE

Attorney Docket #: 50310-00639



RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

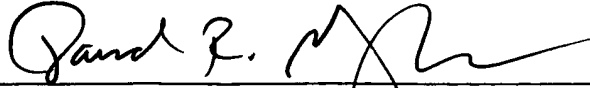
Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 7, 2001, Applicants' attorney submits the following documents in order to complete the formal requirements for the above-referenced application.

- [X] Copy of the Notice to File Missing Parts of Nonprovisional Application (2 sheets);
- [X] A Preliminary Amendment (2 sheets);
- [X] Executed Declaration and Power of Attorney for Applicants Vincent Chern, William Son, and Yuri A. Tijerino (4 sheets);
- [X] Recordation Form Cover Sheets -- with the executed Assignments for Applicants Vincent Chern, William Son, and Yuri A. Tijerino and Neopoint, Inc. (including Schedule A), for recording attached thereto (16 sheets);
- [X] A substitute specification in compliance with 37 CFR 1.52 (34 sheets);
- [X] Petition for 2-Month Extension of Time under 37 CFR 1.136(a) (1 sheet); and

- [X] a check totaling \$680.00 (\$130.00 to cover the Government surcharge fee for the late filing of the Oath; \$160.00 for recording the Assignments; and \$390.00 for the two month extension of time).

Respectfully submitted,



Dated: June 14, 2001

DAVID R. YOHANNAN, Reg No. 37,480
COLLIER SHANNON SCOTT, PLLC
3050 K Street, N.W., Suite 400
Washington, D.C. 20007
(202) 342-8400